

# Financial Disclosure Management

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7.0.1 Release Notes

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# Introduction

Previous Release Notes are posted on the FDM website:  
<https://www.fdm.army.mil/helpSupport/releaseNotes.htm>.

Release 7.0.1 implements these enhancements:

## 7.0.1 Enhancements

**Ethics Training Tracking**

**Days in Review**

**Asset Line Item Sort**

**View/Print after eSign**

**Bottom Level Org Unit**

**Defect and Changes**

# Ethics Training

## Prior Year Recording of Ethics Training Tracking

This release includes the ability for POCs, Supervisors, 450 Certifiers, SLCs, and DAEOs to record and view Ethics Training for filers who are currently in the Reviewer's Org units for the current and prior year (2012) on the Not Trained and Trained Tabs.

Figure 1. Ethics Training View Current and Historical Training

The screenshot displays the 'Ethics Training' application interface. At the top, there is a navigation bar with tabs: 'My Info', 'My Reviews', 'Admin', 'Ethics Training', 'Management Reports', and 'Resources'. Below this is a sub-navigation bar with 'Not Trained', 'Trained', 'Exceptions', and 'Training Reports'. The main content area is titled 'Filers Not Trained' and includes an 'Agency Admin' dropdown menu.

A search section is present with the following elements:

- Search bar: 'Org Unit: My Orgs > Demo Agency -SELECT-Next Level Down'
- Radio buttons: 'Show Filers for Demo Agency' (unselected) and 'Show My Filers' (selected)
- Checkbox: 'Include Filers for Org Units assigned to other Agency Admins' (checked)
- Calendar Year dropdown: A red circle highlights this dropdown, which is currently set to '2013' but has '2012' selected. A callout bubble points to it with the text: 'Yo can now select the year you wish to record trianing for in the Calendar year drop-down.'
- Buttons: 'Search' and 'Reset'

Below the search section, there is a table with the following data:

Select/Clear All	Name ▲	Org Unit	Filer Role(s)	
<input type="checkbox"/>	278Fler1, OGC	OGC	278 Filer	<input type="button" value="Record Exception"/>
<input type="checkbox"/>	Barr, Clark X	South East Region	450 Filer	<input type="button" value="Record Exception"/>

At the bottom of the interface, there is a status bar showing '1 to 32 of 32' items and 'Items per page: 100'.

# Ethics Training Management Report

This release includes the ability for POCs, Supervisors, 450 Certifiers, SLCs and DAEs to view up to 5 previous years worth of ethics training for Filers who are currently in the Reviewer's Org units at the time the training was recorded.

**Figure 2. Ethics Training Management Report**

**Ethics Training Management Report**

Senior Legal Counsel

The Ethics Training Report allows you to view current and prior years' Ethics Training information for filers that were recorded in your Org Units during the selected calendar year.

**Search**

Org Unit: My Orgs » Demo Agency | -SELECT-Next Level Down

Show Training |  Show My Orgs

Calendar: 2013, 2012, 2011, 2010, 2009

Training Type: ALL

Last Name Starts With: Last Name: First Name:

Generate Report | Reset

You can now view up to 5 previous year's of recorded Ethics Training.

**Report Results**

Name	Org Unit	Agency	Training Type/Exception	Training Date	Currently in My Org
Barr, Clark X	South East Region	Demo Agency	Initial	12/10/2012	Y
Board, Bill	North East 1	Demo Agency	Annual	12/02/2012	Y
Calhoun, John	OGC	Demo Agency	Initial	12/10/2012	Y
Colfax, Schuyler	Human Resources	Demo Agency	Annual	12/02/2012	Y
Dahl, Barbie X	CIO	Demo Agency	Initial	12/10/2012	Y
Day, Manny X	Confidential Filers	Demo Agency	Annual	12/02/2012	Y
Day, Summer X	Central North	Demo Agency	Initial	12/10/2012	Y
Dey, Bill X	Central North	Demo Agency	Annual	12/02/2012	Y
Dey, Windy X	North East Region	Demo Agency	Annual	04/01/2012	Y
Edsel, Ford X	West Coast	Demo Agency	Initial	12/10/2012	Y
Fryday, Gladys X	Central North	Demo Agency	Annual	12/02/2012	Y
Hamlin, Hannibal	Human Resources	Demo Agency	Initial	12/10/2012	Y
King, William	Director Demo	Demo Agency	Annual	12/02/2012	Y
Knight, Wednesday X	Central North	Demo Agency	Initial	12/10/2012	Y
MonHead, Lee X	South East Region	Demo Agency	Initial	12/10/2012	Y
Peace, Warren X	North East 1	Demo Agency	Annual	12/02/2012	Y
Sea, Stormy X	Confidential Filers	Demo Agency	Initial	12/10/2012	Y
Storm, Gail X	North East Region	Demo Agency	Annual	04/01/2012	Y
Storm, Raine X	North East Region	Demo Agency	Annual	04/01/2012	Y
Tuesday, Ruby X	Confidential Filers	Demo Agency	Annual	12/02/2012	Y
Winds, Gail X	North East Region	Demo Agency	Annual	04/01/2012	Y
Wynd, Augusta X	North East Region	Demo Agency	Annual	04/01/2012	Y

**Results Summary**

Training Type/Exception	Total
Initial Training	9
Annual Training	13
Total	22

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# Days in Review

This release includes the return of the Days in Review column to the WorkList View, Org Unit View, and to Reports that Need Special Action page (Manage Exceptions tab).

The Days in Review column displays the number of calendar days that have passed since the filer initially submitted or resubmitted their report until the report status is complete

**Note:** The Days in Review column list is sorted by highest numeric value within each role category (work list), or selected org unit, then by filer's last and first names, ascending. (A-Z).

**Figure 3. Days In Review Column**

The screenshot shows the 'FDM PREVIEW Test System Management' interface. At the top, there is a navigation bar with tabs: My Info, My Reviews, Admin, Ethics Training, Management Reports, and Resources. Below this is a sub-navigation bar with: Filers, Review Reports, Manage Exceptions, and Expired Reports. The main heading is 'Review Reports - Worklist View Mode'. On the right, there is a 'Printer-Friendly' icon and a 'OrqUnit View Mode' button.

A search section contains the following filters:
 

- My Roles: DAEO
- Form Type: OGE 278
- Year: ALL
- Reporting Status: ALL
- Review Status: ALL
- Action: ALL

 Below these are fields for 'Last Name Starts With', 'Last Name', and 'First Name', along with 'Search' and 'Reset' buttons.

The main table displays review items with the following columns: Filer, Org Unit, Supervisor, Form Type, Year, Reporting Status, Review Status, Days In Review, Initial Review Days, and My Review/Signature Date. The 'Days In Review' column is circled in red. The table contains three rows of data:

Filer	Org Unit	Supervisor	Form Type	Year	Reporting Status	Review Status	Days In Review	Initial Review Days	My Review/Signature Date
Kennedy, Anthony X	UAT - 1	Mondale, Walter X	OGE 278	2013	Incumbent	Under Review	1		
Kennedy, Anthony X	UAT - 1	Mondale, Walter X	OGE 278	2012	New Entrant	Under Review	1		
Thomas, Clarency X	UAT - 1	Mondale, Walter X	OGE 278	2013	Incumbent	Under Review	1		

At the bottom of the table, there are '1 to 3 of 3' and 'Items per page: 100' indicators. The footer contains the text: 'Security and Privacy Notice | Help | Accessibility | Developed by: CECOM LCMC'.



# Asset Line Item List Sort

The Assets section on the Asset List page and the Compare page now sort assets alphabetically by Asset name. Underlying Assets are sorted in alphabetical order under their parent and above the next parent

**Note:** The Filer must prepopulate their report from a previous report in order for the Compare to be available.

**Figure 4. Asset Line Item Page**

Seq.#	Asset Name	Type of Asset	Owner	Asset Value	Type of Income	Asset Income
1	ABC Stock	Stock, Bond, Option, or Security	Self	\$1,001-\$15,000	Dividends	\$2,501-\$5,000
2	Apple AAPL	Stock, Bond, Option, or Security	Self	\$1,001-\$15,000	Dividends	\$201-\$1,000
3	Dr Pepper Snapple Group	Stock, Bond, Option, or Security	Self	\$1,001-\$15,000	Dividends	\$2,501-\$5,000
4	JANUS Venture Fund	Retirement Plan or Account	Self	\$1,001-\$15,000	Capital Gains	\$2,501-\$5,000
4.1	Broadridge Financial Solutions (underlying asset of 4)	Stock, Bond, Option, or Security		\$1,001-\$15,000	Capital Gains	\$201-\$1,000
4.2	Landstar System, Inc. (underlying asset of 4)	Stock, Bond, Option, or Security	Self	\$1,001-\$15,000	Capital Gains	\$1,001-\$2,500
4.3	Ritchie Bros. Auctioneers, Inc. (underlying asset of 4)	Stock, Bond, Option, or Security	Self	\$1,001-\$15,000	Capital Gains	\$1,001-\$2,500
5	TD Ameritrade	Checking, Savings, or Money Market Account		\$1,001-\$15,000	Interest	\$201-\$1,000
6	TIAA-CREF Lifecycle Index Retirement Income Fund	Retirement Plan or Account	Spouse	\$250,001-\$500,000		No Income (or less than \$201)
7	UGI Corp	Stock, Bond, Option, or Security	Self	\$15,001-\$50,000		\$1,001-\$2,500

**Figure 5. Compare – Asset List**

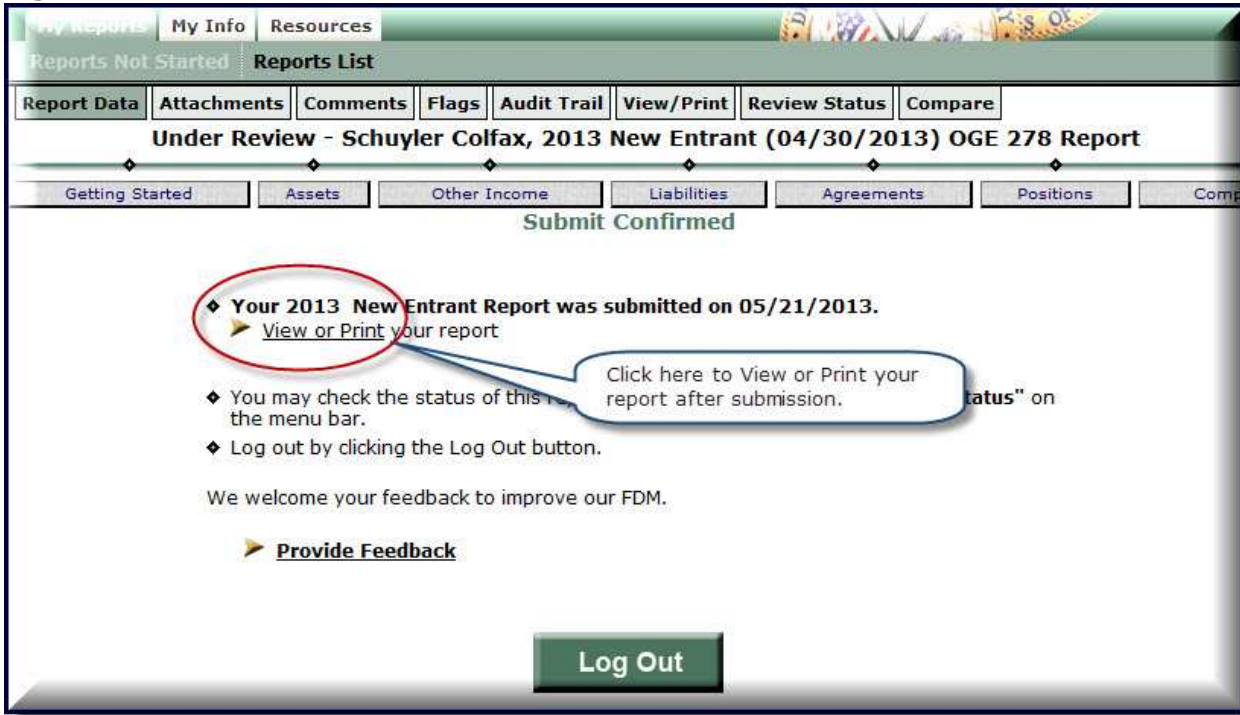
Compare Result	Seq.#	Asset Name	Type of Asset	Owner	Value	Type of Income	Amount of Income
✓	1	ABC Stock	Stock, Bond, Option, or Security	Self	\$1,001-\$15,000	Dividends	\$2,501-\$5,000
⊕	2	Apple AAPL	Stock, Bond, Option, or Security	Self	\$1,001-\$15,000	Dividends	\$201-\$1,000
✓	3	Dr Pepper Snapple Group	Stock, Bond, Option, or Security	Self	\$1,001-\$15,000	Dividends	\$2,501-\$5,000
✓	4	JANUS Venture Fund	Retirement Plan or Account	Self	\$1,001-\$15,000	Capital Gains	\$2,501-\$5,000
✗	4.1	Broadridge Financial Solutions (underlying asset of 3) Broadridge Financial Solutions (underlying asset of 4)	Stock, Bond, Option, or Security	None	\$1,001-\$15,000	Capital Gains	\$201-\$1,000
✗	4.2	Landstar System, Inc. (underlying asset of 3) Landstar System, Inc. (underlying asset of 4)	Stock, Bond, Option, or Security	Self	\$1,001-\$15,000	Capital Gains	\$1,001-\$2,500
✗	4.3	Ritchie Bros. Auctioneers, Inc. (underlying asset of 3) Ritchie Bros. Auctioneers, Inc. (underlying asset of 4)	Stock, Bond, Option, or Security	Self	\$1,001-\$15,000	Capital Gains	\$1,001-\$2,500
✓	5	TD Ameritrade	Checking, Savings, or Money Market Account	None	\$1,001-\$15,000	Interest	\$201-\$1,000
⊕	6	TIAA-CREF Lifecycle Index Retirement Income Fund	Retirement Plan or Account	Spouse	\$250,001-\$500,000		No Income (or less than \$201)
✓	7	UGI Corp	Stock, Bond, Option, or Security	Self	\$15,001-\$50,000		\$1,001-\$2,500

# View/Print

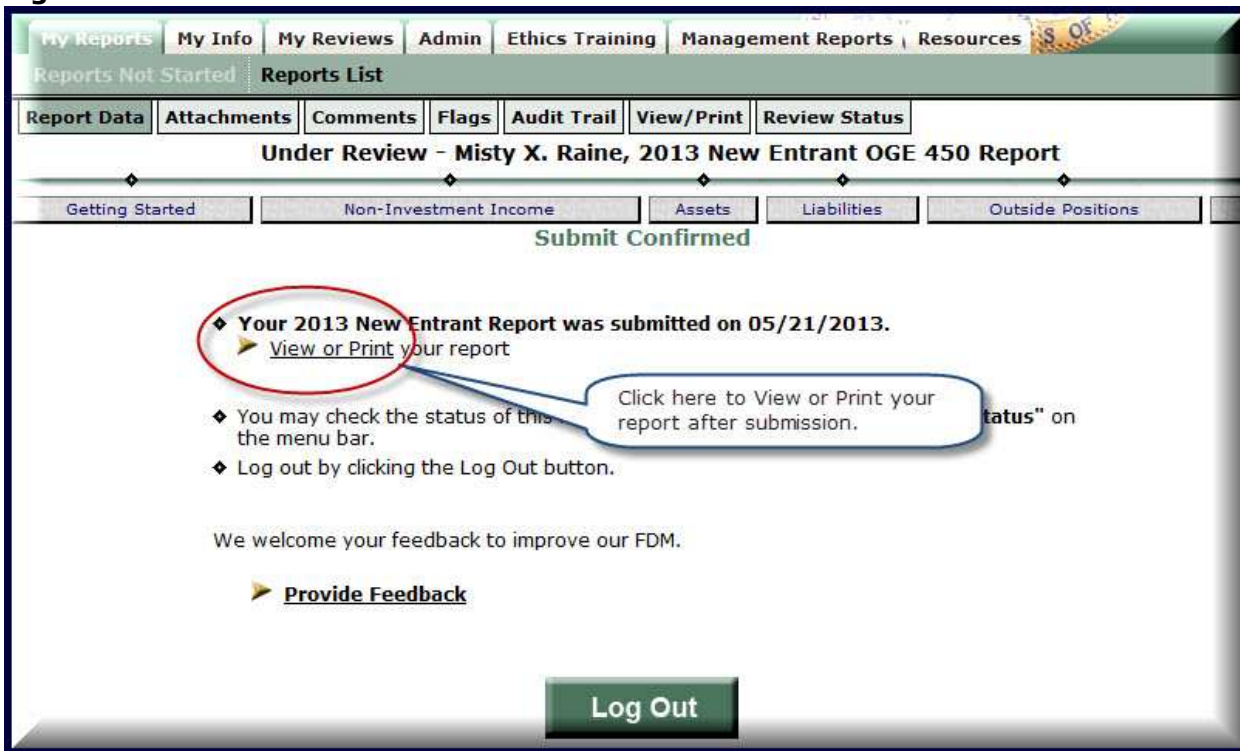
View Print now appears on the Submit Confirmation page for OGE 278 and 450 reports making it easier for filers to View or Print their reports right after submission.

**Note:** Filers can also View or Print their reports from the eSign page before eSigning and from the View/Print tab.

**Figure 6. OGE 278 Submit Confirmed with View or Print link**



**Figure 7. OGE 450 Submit Confirmed with View or Print link**





# Bottom Level Org Unit

A Plus sign (+) now displays beside an Org Unit name when you have reached the bottom level of your org units. Click the Plus sign (+) to add another org unit beneath.

**Figure 8. Bottom Level Org Unit Indicator**

The screenshot shows the 'Org Units' management interface. At the top, there is a navigation bar with tabs for 'My Info', 'My Reviews', 'Admin', 'Ethics Training', 'Management Reports', and 'Resources'. Below this is a secondary navigation bar with links for 'Files', 'POCs', 'Supervisors', 'Senior Legal Counsels', 'DAEOs', '450 Certifiers', 'Users', and 'Org Units'. The main content area is titled 'Org Units' and includes a 'DAEO' role selector and a 'How Do I...' help button. A breadcrumb trail shows 'Org Unit: My Orgs » UAT » UAT - 1'. Below this is an 'Org Unit Search' section with a text input field and 'Search' and 'Clear' buttons. The main table displays 'Org Units for UAT - 1' with columns for Name, Description, Location, Supervisor Name, and actions (Edit, Move, Delete). The first row, 'UAT - 2A', is circled in red, and a callout box points to the plus sign (+) next to its name, stating: 'The PLUS sign (+) beside an org unit name indicates there are no additional org units to navigate to beneath it.' The second row is 'UAT - 2B' with a minus sign (-) next to its name. The table is paginated to show '1 to 2 of 2' items per page.

Name	Description	Location	Supervisor Name	
UAT - 2A	User Acceptance Testing - 2A	... » UAT - 1	Not Assigned (Mondale, Walter, acting)	Edit Move Delete
UAT - 2B	User Acceptance Testing - 2B	... » UAT - 1	Quayle, Daniel X	Edit Move Delete

# Defects & Changes

**SCR 6107** –The OGE Report Assignment Notification had the wrong link to the Filing an OGE 278 QRC. This has been corrected.

**SCR 6751** – Added a new country code for Democratic Republic of Congo (COD) in the Country list on the Report Contact Information page.

**SCR 6749** – Improved the web page display of the Assets page for OGE 278 report.

**6799** – The Take The Survey link on Report Ready for Submission page for OGE 278 Filer assistants is now a Provide Feedback, which pops open an Outlook email message to FDM Customer Support.

**6847** – The text area on the Notes tab is now disabled until Start/add Notes is clicked.